

## Insights into the Influence of CeO<sub>2</sub> Crystal Facet on CO<sub>2</sub> Hydrogenation to Methanol over Pd/CeO<sub>2</sub> Catalysts

Feng Jiang, Shanshan Wang, Bing Liu, Jie Liu, Li Wang, Yang Xiao, Yuebing Xu, and Xiaohao Liu\*

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**ABSTRACT:** CeO<sub>2</sub> is an excellent potential material for CO<sub>2</sub> hydrogenation attributed to the highly tunable properties including metal– support interaction and abundant oxygen vacancy. In this work, four CeO<sub>2</sub> supports with structurally well-defined different shapes and crystal facets are hydrothermally prepared, and their effects on the composition of Pd species and oxygen vacancy over Pd/CeO<sub>2</sub> catalysts have been intensively investigated in the reduction of CO<sub>2</sub> to methanol. The 2Pd/ CeO<sub>2</sub>-R (rods) shows the highest concentration and number of oxygen vacancies, where the (110) facet with high surface oxygen mobility and low oxygen vacancy formation energy is exposed over the CeO<sub>2</sub>-R surface. The oxygen mobility at the interface of (111) and (100) facets mainly observed on 2Pd/CeO<sub>2</sub>-P (polyhedrons) is higher than the single (111) and (100) facets mainly observed on 2Pd/CeO<sub>2</sub>-O (octahedrons) and 2Pd/CeO<sub>2</sub>-C (cubs), respectively. The presence of Pd highly prodissociated H atoms to facilitate the removal of surface O in ceria supsolution dominated on CeO<sub>2</sub>-R and the PdO species dominated on CeO nm average particle size. As revealed by density functional theory (DFT)



and 2Pd/CeO<sub>2</sub>-C (cubs), respectively. The presence of Pd highly promotes the formation of oxygen vacancies by providing dissociated H atoms to facilitate the removal of surface O in ceria support under a H<sub>2</sub> atmosphere. Both the Pd<sub>x</sub>Ce<sub>1-x</sub>O<sub> $\delta$ </sub> solid solution dominated on CeO<sub>2</sub>-R and the PdO species dominated on CeO<sub>2</sub>-O are reduced to metallic Pd after reduction with 6–10 nm average particle size. As revealed by density functional theory (DFT) calculations, in contrast to the single Pd<sup>0</sup> atom on CeO<sub>2</sub> and the thermodynamically most unstable Pd<sub>x</sub>Ce<sub>1-x</sub>O<sub> $\delta$ </sub> solid solution, the Pd<sup>0</sup> nanoparticles are the most stable species under the realistic reaction conditions. The 2Pd/CeO<sub>2</sub>-R shows the highest catalytic activity as the abundantly available oxygen vacancies function as CO<sub>2</sub> adsorption and activation sites. Moreover, oxygen vacancy reactivity is correlated with its formation energy. The lower formation energy facilitates the formation of oxygen vacancy; however, the reactivity of each oxygen vacancy is lower as the TOF<sub>oxygen vacancy</sub> of 2Pd/CeO<sub>2</sub>-O is 15 times as that of 2Pd/CeO<sub>2</sub>-R. Thus, a suitable oxygen vacancy formation energy is likely favorable for enhancing CO<sub>2</sub> reactivity. DFT calculations indicate that the CH<sub>3</sub>OH formation is most probably from the formate (HCOO\*) pathway via the C–O bond cleavage in H<sub>2</sub>COOH\*, with the reduction of HCOO\* to HCOOH\* as the rate-limiting step. These results would provide experimental and theoretical insights into the rational design of an effective catalyst for CO<sub>2</sub> hydrogenation.

**KEYWORDS**: CO<sub>2</sub>, crystal facet, CeO<sub>2</sub>, morphology, oxygen vacancy, methanol synthesis

#### 1. INTRODUCTION

 $\rm CO_2$  emissions have emerged as a major concern ascribed to the negative and significant effects on global climate change.<sup>1</sup>  $\rm CO_2$  is an alternative, sustainable, and renewable carboncontaining resource; thus, utilization of  $\rm CO_2$  greatly helps alleviate  $\rm CO_2$  emissions and improves the independence on conventional sources including coal, petroleum, and natural gas.<sup>2</sup> Owing to the thermodynamic stability and chemical inertness of  $\rm CO_2$ , its utilization as a single reactant is energydemanding.<sup>3</sup> When a reductant, e.g., hydrogen (H<sub>2</sub>), which can be generated by water splitting from geothermal, solar, and wind power, as well as a photovoltaic cell,<sup>4</sup> is cofed with  $\rm CO_2$ , the reaction is more feasible. Therefore, the reduction (i.e., hydrogenation) of  $\rm CO_2$  has been applied to produce various valuable chemicals, including lower olefins, liquid fuels, aromatics, and ether/alcohol oxygenates.<sup>5–12</sup> Among these compounds,  $CO_2$  hydrogenation to methanol (CH<sub>3</sub>OH) has been widely investigated as methanol is an important chemical to produce aromatics and lower olefins and it itself can also be used as a fuel.<sup>13-18</sup>

The catalysts of converting  $CO_2$  to methanol typically require two steps as follows: (1)  $CO_2$  adsorption and activation and stabilizing the activated intermediates for further hydrogenation to methanol; and (2) dissociation of the H–H bond in H<sub>2</sub> and facilitating reactions with the

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activated intermediates to generate methanol instead of other byproducts such as methane (CH<sub>4</sub>). Oxide supported metal catalysts are promising candidates for this reaction due to the favorable activation of CO<sub>2</sub> on oxide supports and the activation of H<sub>2</sub> on supported metals. Various metals (Rh, Re, Pt, Au, Pd, and Cu) and oxide supports (Nb<sub>2</sub>O<sub>5</sub>, Cr<sub>2</sub>O<sub>3</sub>, MgO, Ga<sub>2</sub>O<sub>3</sub>, CeO<sub>2</sub>, TiO<sub>2</sub>, SiO<sub>2</sub>, ZrO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, and ZnO) have been studied for this reaction.<sup>13</sup> These catalysts generally function via the formed alloy at the oxide–metal interfaces and/or the strong metal–support interaction (SMSI).<sup>19,20</sup> The oxide support exhibits a crucial role in governing the catalytic activity, <sup>13,21–23</sup> since it helps in increasing the metal dispersion, creating the support–metal interfacial sites, and modifying the surface properties via oxygen vacancy concentrations, basicity, or acidity, etc.

Known as a stable, abundant, and inexpensive rare-earth material, CeO<sub>2</sub> contains abundant surface oxygen vacancies, leading to its potentially promotional role for converting the CO<sub>2</sub> molecule.<sup>24</sup> In addition to the intrinsic properties of CeO<sub>2</sub>, its morphology with different preferentially exposed crystal faces has been found to be a critical factor in a series of reactions, such as CO<sub>2</sub> methanation,<sup>25,26</sup> CO oxidation,<sup>27</sup> reverse water gas shift (RWGS),<sup>28</sup> and CH<sub>4</sub> combustion.<sup>29</sup> The origin of the ceria crystal face effect on the catalytic performance originates from the difference of the surface atomic arrangement and the electronic properties of  $CeO_{2i}$ which influences the oxygen vacancy formation energy.<sup>30</sup> In these reactions, the catalytic activity is highly correlated with the number of oxygen vacancies, especially for CO<sub>2</sub> methanation, in which the number of oxygen vacancies is linearly correlated with activity. The oxygen vacancies at the CeO<sub>2</sub> surface function as CO<sub>2</sub> adsorption and activation sites. Density functional theory (DFT) calculations reveal that CO<sub>2</sub> can readily be adsorbed on the  $CeO_2(110)$  surface, and the surface carbonate is formed near the oxygen vacancy site.<sup>31</sup> Moreover, the number of oxygen vacancies could influence CO<sub>2</sub> activation via lower reaction barriers of CO<sub>2</sub> dissociation on a divacancy as compared with a single isolated oxygen vacancy over the  $CeO_2(110)$  plane.<sup>32</sup> Nevertheless, the reactivity of oxygen vacancy on different crystal facets has been rarely discussed. The Pd/CeO<sub>2</sub> catalyst and its ability in catalyzing  $CO_2$  to methanol have been revealed by several studies.<sup>4,33-39</sup> However, the crystal facet effect of the  $CeO_2$ support is poorly understood. Therefore, a systemic investigation needs to be carried out to make a comprehensive understanding of the crystal facet effect of CeO<sub>2</sub> on CO<sub>2</sub> conversion to CH<sub>3</sub>OH over Pd/CeO<sub>2</sub> catalysts.

Considering that the crystal facet of CeO<sub>2</sub> is highly related to its morphologies, we have synthesized CeO<sub>2</sub> with four different morphologies including rods, cubes, polyhedrons, and octahedrons as the support of Pd metal. The Pd/CeO<sub>2</sub> catalysts exhibit remarkable dependence of catalytic activity on support morphology in CO<sub>2</sub> conversion to methanol. The Pd/CeO<sub>2</sub>-R material displays the highest activity among the four catalysts, which possesses the highest density and the highest amount of oxygen vacancies, originating from Pdpromoted formation of surface oxygen vacancies and the high oxygen mobility in the (110) facet of  $CeO_2$ -R. The reactivity of each oxygen vacancy is crystal-facet-dependent as well that the oxygen vacancy with a lower formation energy is prone to giving a lower reactivity, which is contrary to the relationship between the number of oxygen vacancies and their formation energy. DFT calculations were applied to reveal the possible

pathway of  $CH_3OH$  formation over the Pd/CeO<sub>2</sub> catalyst, which indicates a preferred methanol formation from the formate route.

#### 2. EXPERIMENTAL SECTION

**2.1. Catalyst Preparation.** 2.1.1. Synthesis of  $CeO_2$  Rods (Remarked as  $CeO_2$ -R) and Cubes (Remarked as  $CeO_2$ -C).<sup>40</sup> Generally, a solution composed of 3.472 g of  $Ce(NO_3)_3$ .6H<sub>2</sub>O (Aladdin) and 20 mL of deionized (DI) water was mixed with another solution composed of 38.4 g of NaOH and 140 mL of DI water. After the obtained solution was continually stirred for 0.5 h, it was moved to a 250 mL stainless steel autoclave with a Teflon liner, followed by being hydrothermally treated at 100 °C for 24 h to obtain  $CeO_2$ -R and at 180 °C for 24 h to obtain  $CeO_2$ -C. The precipitation was then purified by centrifugation. After it was rinsed using DI water (until pH = 7) and pure ethanol for an additional three times, the final ceria samples were obtained by drying at 80 °C for 8 h and calcining in air at 400 °C for 4 h.

2.1.2. Synthesis of  $CeO_2$  Octahedrons (Remarked as  $CeO_2$ -O). Similar to a previous study,<sup>26</sup> a solution composed of 1.716 g of  $Ce(NO_3)_3$ · $6H_2O$  and 20 mL of DI water was mixed with another solution composed of 0.015 g of  $Na_3PO_4$  and 140 mL of DI water. After the obtained solution was continually stirred for half an hour, it was moved into a 250 mL autoclave and hydrothermally treated at 170 °C for 10 h. Finally, the formed solids were separated, dried, and calcined the same way as for  $CeO_2$ -R.

2.1.3. Preparation of Ceria Polyhedrons (CeO<sub>2</sub>-P).<sup>41</sup> In a standard preparation process of CeO<sub>2</sub>-P, 5.208 g of Ce(NO<sub>3</sub>)<sub>3</sub>.  $6H_2O$  and 60 mL of DI water were mixed under magnetic stirring, followed by adding 1.5 g of poly(vinyl pyrrolidone) under stirring for an additional 20 min. After a clear solution was formed, 12 mL of N<sub>2</sub>H<sub>4</sub>·H<sub>2</sub>O (85%) was added slowly under stirring for 15 min. The resulting solution was then moved into the 250 mL reactor and treated at 180 °C for 12 h. Finally, the precipitation was sfor CeO<sub>2</sub>-R.

2.1.4. Preparation of Pd/CeO<sub>2</sub> Catalysts. The CeO<sub>2</sub>supported Pd catalysts were prepared using an incipient wetness impregnation method as described in our previous research.<sup>42</sup> Particularly, the loadings of Pd metal for these catalysts were the same and designed as 2.0 wt %. The real Pd contents in these samples were analyzed by inductively coupled plasma (ICP) spectroscopy (TJA IRIS 1000), which were 1.96 wt % for 2Pd/CeO<sub>2</sub>-R, 1.92 wt % for 2Pd/CeO<sub>2</sub>-P, 1.91 wt % for 2Pd/CeO<sub>2</sub>-C, and 2.03 wt % for 2Pd/CeO<sub>2</sub>-O. All of the calcined catalysts were pressed into pills and crushed, and the 280–450  $\mu$ m particles were sieved for their catalytic tests.

**2.2. Catalyst Characterization.** In general, the calcined samples were characterized as obtained, while the reduced catalysts were initially reduced at 500 °C in H<sub>2</sub> at an atmospheric pressure for 1 h and then characterized after their passivation for 2 h in 1%  $O_2/N_2$  at room temperature. The Brunauer–Emmett–Teller (BET) surface areas of Pd/CeO<sub>2</sub> catalysts were tested on an automated surface area analyzer (Quantachrome Autosorb iQ) from the N<sub>2</sub> adsorption/ desorption isotherms at -196 °C. The pretreatment of these samples was degassed at 200 °C for 4 h. Conventional powder X-ray diffraction (XRD, Bruker AXS D2 PHASER) was performed at 40 kV and 40 mA using Cu (K $\alpha$ ) radiation ( $\lambda = 1.5406$  Å). The scan was from 5 to 90° with a speed of 3°

 $\min^{-1}$ . Raman spectra were performed on a Renishaw Micro Raman spectrometer with an excitation laser of 532 nm. Highangle annular dark-field scanning transmission electron microscopy (HAADF-STEM) was performed on an FEITecnai G2 microscope operated at 200 kV. Scanning electron microscopy (SEM) images of the ceria octahedrons were obtained on a HITACHI S-4800 microscope. Before the measurement, the samples were treated with Au sputtering first.

The CO-diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) of the reduced sample was obtained using a Nicolet Model Nexus 670 FT-IR. A high-temperature heating chamber and a mercury–cadmium–telluride (MCT) detector were equipped, and the spectra were recorded at a resolution of 4 cm<sup>-1</sup> and 64 scans. The sample was first reduced in pure H<sub>2</sub> at 500 °C for 1 h. After the sample was cooled to 25 °C, the adsorption gas of 0.3% CO/He (15 mL min<sup>-1</sup>) was fed through the chamber for 10 min and then N<sub>2</sub> (20 mL min<sup>-1</sup>) was purged instead of 0.3% CO/He.

In situ X-ray photoelectron spectroscopy (XPS) spectra of reduced catalysts were conducted via an EnviroESCA (SPECS) equipped with a differentially pumped energy analyzer connected to an exchangeable sample environment and a monochromatic Al K $\alpha$  radiation (1486.8 eV). The sample was in situ reduced in a H<sub>2</sub> flow of 30 mL min<sup>-1</sup> at 500 °C for 1 h to obtain the XPS data for the reduced sample. The XPS spectra of calcined samples were recorded as obtained on an X-ray photoelectron spectrometer of Thermo Fisher ESCALAB 250xi. The carbonaceous C 1s peak at 284.8 eV was used to calibrate the binding energies.

 $H_2$  temperature-programmed reduction ( $H_2$ -TPR) profiles of Pd/CeO<sub>2</sub> and CeO<sub>2</sub> supports were recorded in an autocontrolled flow reactor system (TP-5076). The sample of 50 mg was put into a tube reactor of quartz followed by a pretreatment at 200 °C for 1 h in a N<sub>2</sub> stream and then cooling to 30 °C. Then, it was heated from 30 to 700 °C at a ramping rate of 10 °C min<sup>-1</sup> in a flow of 5% $H_2/N_2$ . At the same time, the thermal conductivity detector (TCD) signals were recorded to get the  $H_2$ -TPR profiles.

The oxygen storage capacity (OSC) tests were carried out to quantitatively determine the density and amount of surface oxygen vacancies of these Pd/CeO<sub>2</sub> catalysts using an oxygen pulse injection method. First, 150 mg of the sample was dried at 200 °C for 1 h in a He stream, and then, it was reduced in 10%H<sub>2</sub>/Ar flow at 500 °C for 1 h. The flow rate of all gas streams used in this measurement was 50 mL min<sup>-1</sup>. The surface-adsorbed H<sub>2</sub> on the sample was removed by purging He at 500 °C for 10 min. After the catalyst was cooled to 50 °C, a stream of 10%O<sub>2</sub>/He was injected periodically into the reduced catalyst until saturation. Finally, the OSC of the catalyst was derived from the consumption of oxygen.

Dispersion of Pd  $(D_{Pd})$  was determined using the H<sub>2</sub>-O<sub>2</sub> titration method. Typically, a sample of 100 mg was pretreated and reduced as the OSC test. Then, it was cooled to room temperature followed by injecting 10%O<sub>2</sub>/He pulses to the sample until saturation. Subsequently, H<sub>2</sub> chemisorption was performed by injecting 10%H<sub>2</sub>/Ar pulses at the same temperature. The adsorption stoichiometry factor of Pd/H<sub>2</sub> can be assumed to be 2/3.<sup>43</sup> The average particle size of Pd  $(d_{Pd})$  was calculated using the formula  $d_{Pd}$  (nm) = 1.12/ $D_{Pd}$ .<sup>27</sup>

**2.3. Catalyst Tests.** All catalyst evaluations were carried out in a stainless steel fixed-bed reactor with a diameter of 8 mm. Typically, a catalyst of 0.3 g diluted with quartz sand of

1.0 g was packed into the reactor to eliminate the temperature gradient. The catalyst was initially in situ reduced in a 30 mL  $\min^{-1}$  H<sub>2</sub> flow at 500 °C for 1 h and atmospheric pressure. Subsequently, the reactant gas mixture of  $72.7\%H_2/24.3\%$  $CO_2/3\%N_2$  was introduced into the reactor and then the reaction pressure was raised to the designed value followed by heating the reactor to the designed reaction temperature. The standard reaction conditions are a  $H_2/CO_2$  ratio of 3.0, 240 °C, 3 MPa, and a gas-hourly space velocity (GHSV) of 2 L  $g_{cat}^{-1}$  h<sup>-1</sup>. The online gas chromatograph (Agilent GC-7820A) equipped with an autosampler and two detectors of TCD and a flame ionization detector (FID) was applied to analyze the effluent products including CO<sub>2</sub>, H<sub>2</sub>, CH<sub>4</sub>, CO, CH<sub>3</sub>OH, and higher hydrocarbons with N2 as the internal standard substance. Reported values are given after 5 h of reaction under the steady state.

The conversion of  $CO_2(X_{CO_2})$  was calculated using eq 1.

$$X_{\rm CO_2} (\%) = \frac{[\rm CO_2]_{in} - [\rm CO_2]_{out}}{[\rm CO_2]_{in}} \times 100\%$$
(1)

where  $[CO_2]_{out}$  and  $[CO_2]_{in}$  mean the  $CO_2$  molar concentrations in the outlet and inlet gases, respectively.

CO selectivity  $(S_{CO})$  and  $CH_4$  selectivity  $(S_{CH_4})$  were calculated using eqs 2 and 3, respectively.

$$S_{\rm CO} (\%) = \frac{[\rm CO]_{out}}{[\rm CO_2]_{in} - [\rm CO_2]_{out}} \times 100\%$$
(2)

$$S_{CH_4}(\%) = \frac{[CH_4]_{out}}{[CO_2]_{in} - [CO_2]_{out}} \times 100\%$$
(3)

where  $[CO]_{out}$  and  $[CH_4]_{out}$  represent the molar concentrations of CO and  $CH_4$  in the outlet gas, respectively.

CH<sub>3</sub>OH selectivity ( $S_{CH_3OH}$ ) was calculated using eq 4.

$$S_{\rm CH_3OH} (\%) = \frac{[\rm CH_3OH]_{out}}{[\rm CH_3OH]_{out} + [\rm CH_4]_{out}} \times (1 - S_{\rm CO}) \times 100\%$$
(4)

where  $[CH_3OH]_{out}$  represents the molar concentration of  $CH_3OH$  in the outlet gas.

The reaction rate  $(r_{CO_2}, \text{ mmol } g_{cat}^{-1} \text{ h}^{-1})$  was calculated using eq 5.

$$r_{\rm CO_2} = \frac{X_{\rm CO_2} \times V_{\rm CO_2}}{g_{\rm catalyst}}$$
(5)

where  $X_{CO_2}$  is CO<sub>2</sub> conversion,  $V_{CO_2}$  is the molar flow rate of CO<sub>2</sub> (mol h<sup>-1</sup>), and  $g_{catalyst}$  is the grams of catalyst loaded.

The turnover frequencies,  $TOF_{oxygen vacancy}$  (h<sup>-1</sup>) and  $TOF_{Pd}$  (h<sup>-1</sup>), were calculated using eqs 6 and 7.

$$\text{TOF}_{Pd} (h^{-1}) = \frac{X_{CO_2} \times V_{CO_2}}{g_{\text{catalyst}} \times [Pd]_{\text{content}} \times D_{Pd} \div M_{Pd}}$$
(6)

$$\text{TOF}_{\text{oxygen vacancy}} (\text{h}^{-1}) = \frac{X_{\text{CO}_2} \times V_{\text{CO}_2}}{g_{\text{catalyst}} \times \text{OSC}}$$
(7)

where  $X_{CO_2}$ ,  $V_{CO_2}$ , and  $g_{catalyst}$  have the same meaning as described above,  $[Pd]_{content}$  is the content of Pd in the catalyst measured by ICP (wt %),  $D_{Pd}$  is the Pd dispersion based on  $H_2-O_2$  titration,  $M_{Pd}$  is the relative atomic mass (106.4 g



Figure 1. XRD patterns of Pd/CeO<sub>2</sub> catalysts for (a) calcined, (b) reduced, and (c) reflections of Pd peaks between 38 and 42°.

mol<sup>-1</sup>), and OSC is the amount of surface oxygen vacancy in the catalyst (mol O  $g_{cat}^{-1}$ ). **2.4. Computational Details.** The Vienna ab initio

simulation package (VASP) was used to carry out all of the spin-polarized DFT calculations.<sup>44,45</sup> A plane-wave basis was employed to describe the valence electrons.  $U_{eff} = 5.0$  eV was applied to the Ce 4f states to accurately calculate the highly localized Ce 4f orbitals. The core-valence interactions were represented by the projector-augmented wave (PAW) method.<sup>46</sup> The energy cutoff was set to 400 eV. The electronic correlation and exchange was modeled by generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) functional.<sup>47</sup> The Gaussian smearing method was employed to calculate the electron smearing with a smearing width of 0.05 eV. The Brillouin zone was sampled at the  $\Gamma$ point. The geometry optimization for all of the structures was performed using the conjugate gradient algorithm. The convergence criterion for force was set to 0.03 eV  $\text{\AA}^{-1}$ . The climbing-image nudged elastic band (CI-NEB) method was used to search the transition state (TS) for each elementary reaction.48,49 The searched transition state was further confirmed by having only one imaginary frequency. The activation barrier  $(E_a)$  was defined as the energy difference between the TS and the initial state.<sup>50</sup>

The calculated lattice parameter of CeO<sub>2</sub> was 5.437 Å. The CeO<sub>2</sub>(110) surface was modeled by a 2 × 3 supercell slab model with five layers, which contains 90 atoms. The neighboring slabs were separated by a 15 Å vacuum gap to avoid their interactions. Three-coordinated O atoms and six-coordinated Ce atoms were exposed on CeO<sub>2</sub>(110). During geometry optimization, the atoms in the bottom layer were fixed, while the rest of the atoms were allowed to relax. The CeO<sub>2</sub>-supported Pd catalyst was modeled through adding a Pd<sub>4</sub> cluster on the top of the CeO<sub>2</sub>(110) surface. The side and top views of Pd<sub>4</sub>/CeO<sub>2</sub>(110) are shown in Figure S1.

To identify the most stable Pd structure under realistic reaction conditions, we carried out ab initio thermodynamics calculations. The chemical potential of the Pd<sub>1</sub>-CeO<sub>2</sub> system ( $\mu$ (system)), as a function of the O chemical potential, was calculated to evaluate the stability of Pd<sub>1</sub>-doped CeO<sub>2</sub> and Pd<sub>1</sub>

supported on CeO\_2, which was calculated according to eqs 8 and 9.  $^{51}$ 

$$\mu(\text{system}) = E_{\text{Pd}_{1}\text{CeO}_{2}} + N(\text{CeO}_{2})\mu_{\text{CeO}_{2}}(T, P)$$
$$- N(O)\mu_{O}(T, P) - E_{\text{Pd}_{1}} - E_{\text{CeO}_{2}}$$
(8)

$$\mu_{O}(T, P) = \frac{1}{2} [E_{O_{2}} + \Delta \mu_{O_{2}}(T, P)]$$
$$= \frac{1}{2} E_{O_{2}} + \Delta \mu_{O}(T, P)$$
(9)

where  $E_{Pd_1CeO_2}$  is the total energy of the Pd\_1CeO\_2 system,  $E_{CeO_2}$ is the total energy of the bare CeO<sub>2</sub> surface, and  $E_{Pd_1}$  is the total energy of the bulk Pd atom. The  $\mu_{CeO_2}(T, P)$  and  $\mu_O(T, P)$  represent the chemical potentials of the bulk CeO<sub>2</sub> and the oxygen atom, respectively. The *N* values are set to balance the stoichiometry relative to a standard Pd\_1CeO<sub>2</sub> system, namely, the CeO<sub>2</sub> slab surface and the bulk Pd.  $E_{O_2}$  is the total energy of the gas-phase O<sub>2</sub> molecule, and  $\Delta\mu_O(T, P)$  is the free energy contribution to  $\mu_O(T, P)$ .

The chemical potential of oxygen is related to the gas-phase reservoirs.<sup>52</sup> Thus, for catalysts under reaction conditions, the chemical potential of oxygen was calculated using

$$\mu_{\rm O}(T, P) = \frac{1}{4} \{ 3\mu_{\rm CO_2} + 4\mu_{\rm H_2} - \mu_{\rm CO} - \mu_{\rm CH_4} - \mu_{\rm CH_3OH} \}$$
(10)

For catalysts under reduction conditions, the chemical potential of oxygen was calculated using

$$\mu_{\rm O}(T, P) = \mu_{\rm H_2O} - \mu_{\rm H_2} \tag{11}$$

The chemical potentials for each gas species were estimated using eqs 12 and 13

$$\mu(T, P) = E + \Delta \mu(T, P) \tag{12}$$

Table 1. Textural Property of As-Prepared Pd/CeO<sub>2</sub> Samples

		grain size (nm) <sup>a</sup>		$S_{\text{BET}} (\text{m}^2 \text{g}^{-1})^b$		lattice parameter (nm)		$D_{\mathrm{Pd}}^{c}$	$d_{\rm Pd} \ ({\rm nm})^d$
sample	exposed plane	calcined	reduced	calcined	reduced	calcined	reduced		
2Pd/CeO <sub>2</sub> -R	CeO <sub>2</sub> (110), (111)	8.8	10.1	106.3	86.3	0.5405	0.5411	0.19	5.9
2Pd/CeO <sub>2</sub> -P	CeO <sub>2</sub> (100), (111)	18.1	20.2	41.1	39.5	0.5405	0.5417	0.14	8.0
2Pd/CeO <sub>2</sub> -C	$CeO_{2}(100)$	40.7	45.2	22.1	17.8	0.5394	0.5407	0.13	8.6
2Pd/CeO <sub>2</sub> -O	CeO <sub>2</sub> (111)	43.4	46.4	13.9	11.8	0.5409	0.5428	0.11	10.2

<sup>*a*</sup>Calculated by the Scherrer equation, based on the reflection of CeO<sub>2</sub> using the (111) peak at  $2\theta = 28.6^{\circ}$ . <sup>*b*</sup>BET specific surface area. <sup>*c*</sup>Pd dispersion calculated by H<sub>2</sub>-O<sub>2</sub> titration. <sup>*d*</sup>Average particle size of Pd calculated from Pd dispersion.

$$\Delta\mu(T, P) = [H(T, P^{\theta}) - H(0K, P^{\theta})] - T[S(T, P^{\theta}) - S(0K, P^{\theta})] + k_{\rm B}T \ln \frac{P}{P^{\theta}}$$
(13)

where *E* is the DFT-calculated total energy of the gas species, *P* is the partial pressure of the gas species,  $P^{\theta}$  is the standard pressure, *T* is the temperature of the system,  $k_{\rm B}$  is the Boltzmann constant, and enthalpy  $H(T, P^{\theta})$  and entropy  $S(T, P^{\theta})$  of the gas species were obtained from the JANAF thermochemical tables.<sup>53</sup>

The chemical potential of Pd nanoparticles (NPs) on the ceria surface was calculated based on the Gibbs–Thomson relation.  $^{54,55}$ 

$$\mu_{\rm NPs}(R) = 2\Omega \gamma_{\rm NPs}/R \tag{14}$$

where  $\Omega$  is the molar volume of the bulk Pd metal atom, *R* is the radius of supported Pd NPs, and  $\gamma_{\text{NPS}}$  is the surface energy of the Pd NPs on the ceria support. For Pd NPs on ceria, Su et al. proposed that the surface energy of Pd NPs is 0.1345 eV Å<sup>-2</sup>, and thus the chemical potential of Pd NPs on the ceria surface is 2.69/R eV.<sup>55</sup>

#### 3. RESULTS AND DISCUSSION

3.1. Structural and Morphological Study. Figure 1 illustrates the XRD patterns for the calcined and reduced Pd/  $CeO_2$  samples. For the calcined samples (Figure 1a), each spectrum exhibits well-resolved diffraction peaks with all of the characteristic peaks located at 28.6, 33.1, 47.5, 56.3, 59.1, 69.4, and  $77.0^{\circ}$  attributed to CeO<sub>2</sub> crystals with the face-centered cubic structure (PDF #81-792).<sup>56</sup> Using the Scherrer equation and the (111) peak at  $2\theta = 28.6^\circ$ , the mean crystallite sizes of CeO<sub>2</sub> are calculated and listed in Table 1, which are 8.8 nm for CeO<sub>2</sub>-R, 18.1 nm for CeO<sub>2</sub>-P, 40.7 nm for CeO<sub>2</sub>-C, and 43.4 nm for CeO<sub>2</sub>-O. Consequently, the CeO<sub>2</sub>-R supported catalyst exhibits the largest surface area of 106.3 m<sup>2</sup> g<sup>-1</sup>. No recognizable diffractions of Pd species appear in the patterns of all of the calcined samples, suggesting a highly dispersed Pd species or its incorporation into the CeO<sub>2</sub> lattice for the formation of the  $Pd_xCe_{1-x}O_{2-\delta}$  solid solution.<sup>57</sup> Indeed, the XPS results in the following part clearly confirm that  $Pd_xCe_{1-x}O_{2-\delta}$  is formed over the four kinds of catalysts.

For the reduced ones (Figure 1b,c), the diffraction peaks of  $CeO_2$  are well reserved, and the diffraction peak around  $40.2^{\circ}$  assigned to metallic Pd appears due to the agglomeration of Pd species during the reduction process. However, these reflections are relatively weak, indicating the small size of Pd particles. The mean particle sizes of  $CeO_2$  supports in these reduced catalysts are also presented in Table 1, which are slightly larger than the corresponding calcined ones owing to the sintering during the high-temperature reduction treatment.

Consequently, the BET surface areas of these reduced samples are smaller than those of the corresponding calcined samples, which vary in a wide range of 11.8–86.3 m<sup>2</sup> g<sup>-1</sup>. However, the dispersion of Pd changes in a relatively small range of 0.11– 0.19 (Table 1) indicating that the unique characters of CeO<sub>2</sub>, such as strong CeO<sub>2</sub>–Pd interaction and rich in oxygen vacancy, could promote Pd dispersion. The mean Pd particle size could be calculated from the dispersion data, which is in the range of 6–10 nm (Table 1).

After reduction, the lattice parameters of CeO<sub>2</sub> are slightly increased (Table 1), which can be mainly related to the increase in the quantity of oxygen vacancies and partly due to the reduction of  $Pd_xCe_{1-x}O_{2-\delta}$  during the reduction process. On the one hand, CeO<sub>2</sub> will be partly reduced (2CeO<sub>2</sub> + H<sub>2</sub>  $\rightarrow$  $Ce_2O_3 + H_2O$ ) to increase oxygen vacancies. The  $Ce^{3+}$  ions have a higher radius (r = 1.034 Å) than the Ce<sup>4+</sup> ions (0.92 Å). The introduced oxygen vacancies accompanied by Ce<sup>3+</sup> ions result in a distorted local symmetry, which leads to a changed length of the Ce-O bond and thereby the lattice expansion.<sup>58,59</sup> The reversible transformation between  $Ce^{3+}$ and Ce<sup>4+</sup> is a special character of CeO<sub>2</sub>-based catalysts, which is crucial to improve the catalytic performance. On the other hand, the Pd<sup>2+</sup> ions have a similar, but slightly smaller, ionic radius (0.85 Å) as the Ce4+ ion, which allows the Pd ions entering the ceria lattice to form  $Pd_rCe_{1-r}O_{2-\delta}$  in the calcined samples as confirmed by the XPS measurements in the following part. After reduction, Pd atoms come out of the ceria lattice, leading to an increased lattice parameter. As also revealed by the XPS results in the following part, the Pd species shows its metallic status on the catalyst surface after the reduction process.

Figure 2 represents the electron microscopy results (transmission electron microscopy (TEM) and SEM) to explore the exposed crystal facets of different shaped CeO<sub>2</sub> catalysts. For CeO<sub>2</sub>-R (Figure 2a), it is composed of rods 50-150 nm in length and ~10 nm in diameter. The interplanar spacings observed in CeO<sub>2</sub>-R are 0.19 and 0.31 nm, indicating the presence of (110) and (111) lattice fringes, respectively (Figure 2b).<sup>60</sup> Figure 2c reveals that CeO<sub>2</sub>-P is made up of polyhedrons with size of about 20 nm, and (100) and (111) lattice fringes can be observed with clear interplanar spacings of 0.27 and 0.31 nm, respectively (Figure 2d).<sup>61</sup> Figure 2e displays that CeO<sub>2</sub>-C is made up of cubes with a size of about 40 nm, which is a selectively exposed (100) facet (Figure 2f). For  $CeO_2$ -O (Figure 2g), the particles are made up of octahedrons with well-defined surfaces and with lengths of 40-60 nm, which is a selectively exposed (111) facet assigned to the most thermodynamically stable crystal facet (Figure 2h). As summarized in Table 1,  $CeO_2$ -R exhibits (110) and (111) facets, while CeO<sub>2</sub>-P exhibits (111) and (100) facets. The  $CeO_2$ -C and  $CeO_2$ -O only exhibit on single facets of (100) and (111), respectively.



**Figure 2.** TEM and high-resolution TEM (HRTEM) images of  $CeO_2$ -R (a, b),  $CeO_2$ -P (c, d), and  $CeO_2$ -C (e, f). (g, h) SEM and HRTEM images of  $CeO_2$ -O. The insets schematically illustrate the crystal planes exposed on the  $CeO_2$ -R,  $CeO_2$ -P,  $CeO_2$ -C, and  $CeO_2$ -O.

The HAADF-STEM scans and energy-dispersive X-ray spectrometry (EDX) elemental mappings are used to reveal the presence of Pd species and its spatial distribution on both calcined and reduced samples (Figures S2 and 3). As shown in Figure 3, the morphologies of these supports are well reserved on the reduced catalysts. Compared with the corresponding calcined samples (Figure S2), obvious agglomeration of Pd species occurs and Pd clusters can be observed in all reduced samples except for the CeO<sub>2</sub>-R supported one. The agglomeration of Pd species can also be deduced from the decreased surface Pd concentration and the decreased Pd/Ce ratio after the reduction process, as shown by the XPS results. Besides, Pd nanoparticles with 4-14 nm can be observed on the ceria surface, and the lattice fringe is 0.23 nm, belonging to the Pd(111) facet (Figure S3). It should be noted that Figure S3 displays not the mean size of Pd particles but the size of one particle. We intended to make the size distribution of Pd particles from TEM images. However, only the distribution of 2Pd/CeO<sub>2</sub>-O can be obtained, attributed to the fact that it is difficult to distinguish Pd species from Ce species for the remaining three samples. On CeO2-O, most Pd particles locate at the edges of CeO<sub>2</sub>-O with a large average Pd particle size of 9.7 nm (Figure S4), which is similar to the Pd particle size (10.2 nm, Table 1) derived from Pd dispersion.

To further investigate the chemical states and Pd dispersion on the reduced samples, CO adsorption on the H2-reduced samples was followed by DRIFTS. Figure 4 shows the corresponding spectra, and the dispersion of Pd species is varied over different CeO2 supports. In general, the bands at above 2100 cm<sup>-1</sup> belong to the adsorption of CO on cationic  $Pd^{\delta+}$ .<sup>62</sup> The bands in the range of 2000–2100 cm<sup>-1</sup> are ascribed to the linearly adsorbed CO on Pd(111) and the Pd corner site.<sup>63,64</sup> The bridged CO adsorption on step sites is generally at 1940–2000 cm<sup>-1.63</sup> The bands in the range of 1800-1940 cm<sup>-1</sup> are assigned to the threefold and bridged CO adsorption on plane sites.<sup>63,64</sup> For all of the catalysts, the bands attributed to cationic  $Pd^{\delta+}$  are relatively weak, suggesting that most of the Pd species are metallic Pd on the reduced catalysts. For the CeO<sub>2</sub>-R and CeO<sub>2</sub>-P supported catalysts, three types of adsorbed CO can be observed at 2064, 1962 (1970), and 1932 cm<sup>-1</sup>, which are related to the linear, compressed bridged, and isolated bridged adsorption of CO, respectively.<sup>65</sup> Compared with 2Pd/CeO<sub>2</sub>-R, the proportion of linearly adsorbed CO reduces owing to the less dispersion of Pd species on 2Pd/CeO<sub>2</sub>-P. For the remaining two catalysts, the linearly adsorbed CO disappears and the band at 1850 cm<sup>-1</sup> belonging to threefold adsorbed CO emerges, which originates from the larger Pd particle cluster (above 8 nm).

The chemical states of Pd species are further investigated by XPS over both reduced and calcined samples. As illustrated in Figure 5a, all of the calcined samples display two types of Pd  $3d_{5/2}$  peak at 337.8 and 336.8 eV, which are ascribed to Pd<sup>2+</sup> in  $Pd_xCe_{1-x}O_{2-\delta}$  and in PdO particles, respectively.<sup>27</sup> Based on the peak areas of these two species, the proportion of  $Pd_xCe_{1-x}O_{2-\delta}$  is calculated, which is 0.84 for  $2Pd/CeO_2$ -R, 0.77 for  $2Pd/CeO_2$ -P, 0.48 for  $2Pd/CeO_2$ -C, and 0.39 for 2Pd/CeO<sub>2</sub>-O (Table S1). For the 2Pd/CeO<sub>2</sub>-R and 2Pd/ CeO<sub>2</sub>-P samples, the Pd<sub>x</sub>Ce<sub>1-x</sub>O<sub>2- $\delta$ </sub> solid solution dominates on the ceria surface with the (-Pd<sup>2+</sup>-O<sup>2-</sup>-Ce<sup>4+</sup>-) linkages indicating atomically dispersed Pd ions in the ceria matrix.<sup>66</sup> For the remaining two samples, the PdO particles are located on the support surface. It is noted that the surface concentration of Pd species and the Pd/Ce ratio are quite low over 2Pd/CeO<sub>2</sub>-R, which also confirms the embedding of Pd atoms into the ceria matrix (Table S2). After the catalyst is reduced, in situ XPS was carried out. As illustrated in Figure 5b, all of the samples exhibit only Pd<sup>0</sup> species on the catalyst surface with a 335.6 eV binding energy of Pd 3d<sub>5/2</sub>.<sup>6767</sup> It suggests that PdO and  $Pd_xCe_{1-x}O_{2-\delta}$  solid solution at the catalyst surface are reduced to metallic Pd after reduction. Moreover, the surface concentration of Pd species and the Pd/ Ce ratio decrease after the reduction process (Table S2), suggesting the agglomeration of Pd species, which is consistent with the TEM results.

**3.2. Characterization of Oxygen Vacancy.** Considering the important role of oxygen vacancy in activating  $CO_2$ , the formation process of oxygen vacancy and its dependence on the crystal facets have been investigated in this part using XPS, Raman, and OSC characterizations. Figure 6 shows the in situ XPS spectra of Ce 3d and O 1s over the reduced catalysts. As shown in Figure 6a, the Ce 3d spectra can be resolved into 10 groups for the electron structure of Ce 3d orbitals is severely influenced by the hybridization of Ce 4f orbitals with the O 2p valence band.<sup>68</sup> The five peaks labeled v<sup>0</sup> (880.8 eV), v (882.4 eV), v' (885.3 eV), v'' (889.0 eV), and v'''' (898.4 eV) belong to Ce  $3d_{5/2}$ , whereas the five peaks labeled u<sup>0</sup> (899.1 eV), u (900.7 eV), u' (903.6 eV), u'' (907.3 eV), and u''' (916.7 eV)



Figure 3. HAADF-STEM images and corresponding EDX elemental mappings of reduced catalysts: (a)  $2Pd/CeO_2$ -R, (b)  $2Pd/CeO_2$ -P, (c)  $2Pd/CeO_2$ -C, and (d)  $2Pd/CeO_2$ -O.



**Figure 4.** DRIFT spectra of CO adsorption for the reduced  $Pd/CeO_2$  catalysts.

are assigned to Ce  $3d_{3/2}$ . Among the ten peaks,  $v^0$ , v',  $u^0$ , and u' originate from the Ce<sup>3+</sup> species (Ce<sub>2</sub>O<sub>3</sub>), while the rest of the six peaks correspond to Ce<sup>4+</sup> species (CeO<sub>2</sub>).<sup>27</sup> The density of surface Ce<sup>3+</sup> can be calculated by the relation Ce<sup>3+</sup>/(Ce<sup>3+</sup> + Ce<sup>4+</sup>) based on Ce<sup>4+</sup> and Ce<sup>3+</sup> peak areas, and the results are exhibited in Table S3. The Ce<sup>3+</sup> concentration can be correlated with the surface oxygen vacancies due to which the oxygen vacancy formation is always accompanied by Ce<sup>3+</sup> formation. Among these catalysts, 2Pd/CeO<sub>2</sub>-R has the highest Ce<sup>3+</sup> proportion (0.49), followed by 2Pd/CeO<sub>2</sub>-P (0.43), 2Pd/CeO<sub>2</sub>-C (0.38), and 2Pd/CeO<sub>2</sub>-O (0.31). The 2Pd/CeO<sub>2</sub>-R is assumed to have the highest Ce<sup>3+</sup> density among these catalysts.

The density of oxygen vacancy on these catalysts can also be deduced from O 1s spectra. As shown in Figure 6b, three peaks can be deconvoluted from the O 1s profiles. The peak at 529.4 eV is ascribed to lattice oxygen ( $O_{\alpha}$ : O<sup>-</sup>), the peak at 531.3 eV belongs to surface oxygen ( $O_{\beta}$ : O<sup>2-</sup>,  $O_{2}^{2-}$  or O<sup>-</sup>), and the peak



Figure 5. Pd 3d XPS curves of the (a) calcined  $Pd/CeO_2$  catalysts and (b) in situ-reduced  $Pd/CeO_2$  catalysts.

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Figure 6. In situ XPS curves of (a) Ce 3d and (b) O 1s for the reduced Pd/CeO<sub>2</sub> catalysts.



Figure 7. Raman spectra of (a) CeO<sub>2</sub>, (b) calcined 2Pd/CeO<sub>2</sub>, and (c) reduced 2Pd/CeO<sub>2</sub>. (d) Corresponding I<sub>598</sub>/I<sub>462</sub> values.

above 532.0 eV is ascribed to other weakly bound oxygen species ( $O_\gamma$ ) such as carbonate ( $CO_3^{2-}$ ), adsorbed molecular water, and hydroxyl ( $OH^-$ ).<sup>69,70</sup> The density of oxygen vacancies ( $O_v$ ) could be assumed as  $O_\beta/(O_\alpha + O_\beta + O_\gamma)$ . As shown in Figure 6b and Table S4, the density of  $O_v$  ranks in the following order: 2Pd/CeO<sub>2</sub>-R (0.48) > 2Pd/CeO<sub>2</sub>-P (0.31) > 2Pd/CeO<sub>2</sub>-C (0.28) > 2Pd/CeO<sub>2</sub>-O (0.24), which is consistent with the result of the Ce 3d spectra. It suggests that the number of surface oxygen vacancies is proportional to that of Ce<sup>3+</sup> sites, which can activate and transfer oxygen. We conclude that different shaped CeO<sub>2</sub> exposed distinct crystal facets, which thereby exhibits variation in the density of  $O_v$ .

Figure 7 illustrates Raman spectra of the pristine CeO<sub>2</sub>, calcined, and reduced Pd/CeO<sub>2</sub> catalysts, respectively. All four CeO<sub>2</sub> supports display a strong peak at 462 nm<sup>-1</sup> belonging to the vibration model of octahedral local symmetry from the CeO<sub>2</sub> lattice ( $F_{2g}$ ), a weak defect-induced mode (D) at 598

cm<sup>-1</sup>, a second-order transverse acoustic mode (2TA) at 260  $\mathrm{cm}^{-1}$ , and a second-order longitudinal optical (2LO) mode at 1174 cm<sup>-1</sup> (Figure 7a).<sup>60,71</sup> The  $\sim$ 140 cm<sup>-1</sup> difference in wavenumber is observed between  $F_{2g}$  and the defect bands, indicating that O<sub>v</sub> of these samples arise from the intrinsic defects from  $Ce^{4+}$  to  $Ce^{3+.72}$  The ratio of the peak intensities at 598 and 462 cm<sup>-1</sup>  $(I_{598}/I_{462})$  is a quantitative measurement of oxygen vacancy density,<sup>73</sup> which decreases in the sequence below CeO<sub>2</sub>-R (0.08) > CeO<sub>2</sub>-P  $\approx$  CeO<sub>2</sub>-C (0.05) > CeO<sub>2</sub>-O (0.03) (Figure 7d). After the loading of Pd and calcination (Figure 7b), a new peak at 650  $\text{cm}^{-1}$  is observed in all samples. Moreover, one more new intense peak at 834 cm<sup>-1</sup> is also found in the  $2Pd/CeO_2$ -R sample. The peak at 650 cm<sup>-1</sup> is assigned to Pd-O bond vibration,74 while the peak at 834 cm<sup>-1</sup> is ascribed to Pd-O-Ce bonds arising from the Pd-CeO<sub>2</sub> interaction.<sup>27</sup> This is in accordance with the XPS measurements that the PdO and  $Pd_xCe_{1-x}O_{2-\delta}$  coexist on the



#### Table 2. Summary of the Raman, XPS, and OSC Results of the Reduced Pd/CeO<sub>2</sub> Samples

Figure 8. H<sub>2</sub>-TPR profiles of (a) CeO<sub>2</sub> supports with different morphologies and (b) the corresponding calcined Pd/CeO<sub>2</sub> catalysts.

ceria surface for calcined catalysts and  $Pd_xCe_{1-x}O_{2-\delta}$  is the dominant phase for 2Pd/CeO<sub>2</sub>-R. Moreover, the  $I_{598}/I_{462}$ ratios of these calcined Pd/CeO<sub>2</sub> show no obvious change compared with the corresponding  $CeO_2$  support (Figure 7d). As the Pd/CeO<sub>2</sub> samples are further reduced in the H<sub>2</sub> atmosphere (Figure 7c), the peaks at 650 and 834 cm<sup>-</sup> disappear, meaning the reduction of PdO species and the  $Pd_rCe_{1-r}O_{2-\delta}$  solid solution, which is also consistent with the XPS results. As shown in Figure 7d, a significant increase in the  $I_{598}/I_{462}$  value occurs, suggesting that the reduction process is critical in the formation of O<sub>v</sub>. This increase in O<sub>v</sub> can be ascribed to the promoted partial reduction of CeO<sub>2</sub> to Ce<sub>2</sub>O<sub>3</sub> by the hydrogen spillover from the Pd atom to the CeO<sub>2</sub> surface, which is also consistent with the lattice expansion as indicated by the XRD results above. The highest  $I_{598}/I_{462}$  value is obtained for 2Pd/CeO2-R (0.21), and then 2Pd/CeO2-P (0.18), 2Pd/CeO<sub>2</sub>-C (0.14), and 2Pd/CeO<sub>2</sub>-O (0.08). In summary, although the pristine CeO<sub>2</sub> has some oxygen vacancies, the density is relatively low. Both Pd and H<sub>2</sub> are crucial in promoting the partial reduction of CeO<sub>2</sub> to form O<sub>v</sub>. Further, the O<sub>v</sub> amount is highly related to the crystal facet: the  $2Pd/CeO_2$ -R exposed (110) and (111) facets show the highest density of  $O_v$ , while the 2Pd/CeO<sub>2</sub>-O exposed (111) facet shows the opposite. The order of O<sub>v</sub> concentration is well in accordance with that indicated by the XPS results of O 1s and Ce 3d spectra.

The amount of surface  $O_v$  for these reduced  $2Pd/CeO_2$  samples is measured by OSC tests. The OSC, as well as XPS and Raman results, is summarized in Table 2. In addition to the total amount of surface  $O_v$  per gram of catalyst, the concentration of  $O_v$  per unit surface area is also calculated. It is clear that both the total amount and the concentration of  $O_v$  vary in the same order as the concentration measured by Raman and XPS. The amounts are 257, 79, 27, and 4  $\mu$ mol O  $g_{cat}^{-1}$ , and the concentrations are 3.0, 2.0, 1.5, and 0.3  $\mu$ mol O  $m_{cat}^{-2}$  for 2Pd/CeO<sub>2</sub>-R, 2Pd/CeO<sub>2</sub>-P, 2Pd/CeO<sub>2</sub>-C, and 2Pd/CeO<sub>2</sub>-O, respectively. The ceria-rod-supported catalyst has not

only the highest density of O<sub>v</sub> but also the highest amount of O<sub>v</sub> attributed to its largest surface area. In general, the density of O<sub>v</sub> highly relies on the exposed crystal facet, the existence of Pd species, and the activated atmosphere. According to the DFT calculations, the O<sub>v</sub> formation energy increases in the order (110) < (100) < (111), which is in accordance with the sequence of the oxygen mobility of CeO<sub>2</sub> crystal facets.<sup>30,75</sup> The ceria rods exposed with (110) and (111) crystal facets have a high surface oxygen mobility and a low O<sub>v</sub> formation energy, giving the highest  $O_v$  concentration, which is consistent with previous research.<sup>26,27,29</sup> The presence of Pd could effectively increase the removal of the surface O atom in the  $CeO_2$  support under the H<sub>2</sub> atmosphere by the hydrogen spillover from the Pd metal to the CeO<sub>2</sub> support, which thereby creates more  $O_y$ . It is interesting that the 2Pd/CeO<sub>2</sub>-P exposed (111) and (100) facets show much higher OSC than both 2Pd/CeO<sub>2</sub>-C exposed only (100) facet and 2Pd/CeO<sub>2</sub>-O exposed only (111) facet, which is ascribed to the fact that 2Pd/CeO<sub>2</sub>-P has a number of interfacial sites of (100) and (111) facets and the oxygen vacancy is easier to be formed at these interfacial sites.

**3.3.**  $H_2$ -TPR Analysis. The reducibility of various CeO<sub>2</sub> supports and Pd/CeO<sub>2</sub> catalysts was explored using H<sub>2</sub>-TPR (Figure 8). Figure 8a shows two types of reduction peaks in the H<sub>2</sub>-TPR profiles of the ceria support with different morphologies. It is accepted that the reduction of surface oxygen in ceria occurs below 600 °C, and the reduction of bulk ceria generally occurs above 700 °C.<sup>26,76</sup> We have tried to calculate the amount of H<sub>2</sub> consumption over bare CeO<sub>2</sub> by integrating the TPR profile between 150 and 600 °C, but that of CeO<sub>2</sub>-R can be obtained only because the H<sub>2</sub> consumption of the remaining samples is too low to get accurate values. It is obvious that the amount of H<sub>2</sub> consumption of surface oxygen decreases in the following sequence: CeO<sub>2</sub>-R (0.36 mmol  $g_{cat}^{-1}$  > CeO<sub>2</sub>-P > CeO<sub>2</sub>-C > CeO<sub>2</sub>-O, which is in accordance with the sequence of  $O_v$  concentration as revealed by the Raman, XPS, and OSC results.

#### Table 3. Catalytic Performance of Various Pd/CeO<sub>2</sub> Catalysts in CO<sub>2</sub> Hydrogenation<sup>a</sup>

	$r_{\rm CO_2}$			nol STY		
catalyst	mmol $g_{cat}^{-1} h^{-1}$	$\mu$ mol m <sub>cat</sub> <sup>-2</sup> h <sup>-1</sup>	mg $g_{cat}^{-1}$ h <sup>-1</sup>	mg $m_{cat}^{-2}$ h <sup>-1</sup>	$TOF_{oxygen \ vacancy} \ (h^{-1})$	$TOF_{Pd}$ (h <sup>-1</sup> )
2Pd/CeO <sub>2</sub> -R	1.3	15.1	12.0	0.14	5.1	37.0
2Pd/CeO <sub>2</sub> -P	1.1	27.8	9.5	0.24	13.9	43.4
2Pd/CeO <sub>2</sub> -C	0.7	39.3	5.0	0.28	25.9	29.9
2Pd/CeO <sub>2</sub> -O	0.3	25.4	2.5	0.21	75.0	14.2
	_		1 - 1			

<sup>*a*</sup>Reaction conditions: catalyst = 0.3 g;  $H_2/CO_2$  = 3; GHSV = 2 L  $g_{cat}^{-1}$  h<sup>-1</sup>; 3 MPa; 10 h; 240 °C.



**Figure 9.** (a) Reaction rate and STY methanol over various Pd/CeO<sub>2</sub> catalysts. (b) Reaction rate as a function of the number of surface oxygen vacancies (OSCs). (c) TOF<sub>oxygen vacancy</sub> over various Pd/CeO<sub>2</sub> catalysts. Reaction conditions: catalyst = 0.3 g;  $H_2/CO_2 = 3$ ; GHSV = 2 L  $g_{cat}^{-1} h^{-1}$ ; 3 MPa; 10 h; 240 °C.

The  $H_2$ -TPR profiles of the corresponding Pd/CeO<sub>2</sub> catalysts are illustrated in Figure 8b, and H<sub>2</sub> consumption is quantitatively summarized in Table S5. For the 2Pd/CeO2-R catalyst, the first peak occurs at 71 °C with 1.80 mmol  $g_{cat}^{-1}$  H<sub>2</sub> consumption. This peak is assigned to PdO reduction as it strongly interacts with CeO<sub>2</sub>. Notably, the amount of H<sub>2</sub> consumption of this peak is much bigger than that needed in reducing PdO to  $Pd^0$  (0.19 mmol  $g_{cat}^{-1}$ ). The reduction of surface oxygen over CeO<sub>2</sub> close to the Pd cluster is assumed to account for the additional H<sub>2</sub> consumption. It is noted that the values of H<sub>2</sub> taken up (1.80 mmol  $g_{cat}^{-1}$ ) are also much bigger than the corresponding pristine CeO<sub>2</sub> (0.36 mmol  $g_{cat}^{-1}$ ) plus reducing PdO to Pd (0.19 mmol  $g_{cat}^{-1}$ ), which suggests that the presence of Pd species effectively promotes the removal of more surface oxygen species over CeO<sub>2</sub>. For 2Pd/CeO<sub>2</sub>-P and 2Pd/CeO2-C catalysts, the two sharp peaks observed below 200 °C are ascribed to the reduction of PdO having varied interaction strengths with CeO<sub>2</sub>. The first peak can be ascribed to the reduction of PdO with a strong interaction with  $CeO_{2}$ and the second peak might originate from the reduction of PdO embedded into the CeO<sub>2</sub>.<sup>77</sup> The H<sub>2</sub> consumption values of the two peaks are 0.35 mmol  $g_{cat}^{-1}$  for 2Pd/CeO<sub>2</sub>-P and 0.15 mmol  $g_{cat}^{-1}$  for 2Pd/CeO<sub>2</sub>-C, respectively, which are much lower than the 2Pd/CeO<sub>2</sub>-R catalyst. However, the 2Pd/ CeO<sub>2</sub>-O catalyst exhibits a negative peak at 61 °C due to  $\beta$ -PdH decomposition.<sup>78</sup> The PdO species weakly interacting with the CeO<sub>2</sub> support is reduced to metallic Pd in the hydrogen atmosphere at room temperature, and the formed Pd can further interact with hydrogen to form  $\beta$ -PdH species. This also indicates a lower dispersion of PdO species on the CeO<sub>2</sub>-O support. The amount of H<sub>2</sub> released is only 0.03 mmol  $g_{cat}^{-1}$ , indicating that only a small amount of Pd species has a weak interaction with the CeO2-O support. Most Pd species strongly interacting with CeO<sub>2</sub>-O are reduced at about 262 °C. The H<sub>2</sub> consumption values of the peak below 200 °C

per unit area are also calculated and listed in Table S5. They are 20.9, 8.9, and 8.4  $\mu$ mol m<sub>cat</sub><sup>-2</sup> for 2Pd/CeO<sub>2</sub>-R, 2Pd/ CeO<sub>2</sub>-P, and 2Pd/CeO<sub>2</sub>-C, respectively. The formation of O<sub>v</sub> is very difficult on 2Pd/CeO<sub>2</sub>-O, which shows no reduction of surface oxygen below 200 °C. This is consistent with the Raman, XPS, and OSC measurements. For all samples, some weak peaks are observed at 300–500 °C, which are ascribed to the reduction of surface oxygen distant from Pd clusters. In general, the loading of Pd leads to surface oxygen species reduction at lower temperatures with a higher peak area, indicating the promotional effect of Pd species on O<sub>v</sub> formation at the ceria surface. Moreover, this promotional effect highly depends on the ceria morphology. The facile reducibility of 2Pd/CeO<sub>2</sub>-R is supposed to be highly related to the higher oxygen mobility in CeO<sub>2</sub>-R.

**3.4.** CO<sub>2</sub> Hydrogenation Performances. As indicated by previous research, metal-oxide interfaces commonly play a crucial role in methanol formation from  $CO_2$  reduction.<sup>4,19,22</sup> Prior to evaluating the catalytic performance of various CeO2supported Pd materials, the pristine four CeO<sub>2</sub> supports with different shapes were tested in this reaction. It turns out that all of them show negligible catalytic activity with a CO<sub>2</sub> conversion below 0.5%. Although the oxygen vacancies on CeO<sub>2</sub> supports facilitate CO<sub>2</sub> adsorption and activation, the CeO<sub>2</sub> alone cannot effectively catalyze CO<sub>2</sub> hydrogenation dominantly for the lack of the ability of adsorbing and activating hydrogen. Moreover, the oxygen vacancy on pristine CeO<sub>2</sub> could not be recycled, and their amount is also significantly less than the corresponding Pd/CeO<sub>2</sub> catalysts. The CO<sub>2</sub> hydrogenation favors proceeding by the concerted reaction between the CeO2-activated CO2 and Pd-activated H<sub>2</sub>. The presence of Pd not only provides the activated hydrogen for CO<sub>2</sub> hydrogenation but also promotes the formation and recycling of oxygen vacancies to activate CO<sub>2</sub>.



Figure 10. Influence of reaction temperature (a), reaction pressure (b), and GHSV (c) on the catalytic activity and STY methanol of the 2Pd/ CeO<sub>2</sub>-R catalyst. Standard reaction conditions: catalyst = 0.3 g;  $H_2/CO_2$  = 3; 10 h; 3 MPa; 240 °C; GHSV = 2 L  $g_{cat}^{-1} h^{-1}$ .

As shown in Table 3 and Figure 9, the catalytic performances of the Pd/CeO<sub>2</sub> catalysts are highly shapedependent. The catalytic activity is closely correlated with the CeO<sub>2</sub> morphology, while the product distribution is not where all of the samples show very close product selectivity (Figure S5). The CeO<sub>2</sub> rod supported catalyst gives the highest activity and the highest space time yield (STY) of CH<sub>3</sub>OH among these catalysts (Figure 9a). The reaction rate of  $2Pd/CeO_2$ -R is 1.3 mmol  $g_{cat}^{-1}$  h<sup>-1</sup>, which is 1.2, 1.9, and 4.0 times of 2Pd/ CeO2-P, 2Pd/CeO2-C, and 2Pd/CeO2-O, respectively. The catalytic activity is supposed to be highly correlated with O<sub>v</sub> on the catalyst surface. Generally, the activation of H<sub>2</sub> on Pd metal is very easy, where H<sub>2</sub> can dissociate spontaneously and form two adatoms on the supported Pd clusters.<sup>80</sup> In contrast, the activation of CO2 is much harder and usually needs the assistance of dissociated H atoms.<sup>19,80</sup> Therefore, the activation of CO<sub>2</sub> is considered as one that decides the catalytic activity in  $CO_2$  hydrogenation. The  $O_v$  on the ceria surface are generally accepted as the active sites for CO<sub>2</sub> activation. On the one hand, the oxygen vacancy can interact with nonbonding electrons from the O atoms in CO<sub>2</sub> via the Lewis acid-base interaction due to its Lewis acid nature.<sup>81</sup> On the other hand, several DFT calculations have indicated the assistance of surface O<sub>v</sub> in CO<sub>2</sub> adsorption and activation.  $^{30-32,82-85}$  On surface  $O_{\nu\nu}$  a bent  $CO_2^{-}$  intermediate is formed by inserting one of the two O atoms from CO<sub>2</sub> into the oxygen vacancy.<sup>82</sup> More deeply, the activation energy of CO<sub>2</sub> decomposition on a divacancy reduces nearly to half of that on a single isolated vacancy.<sup>32</sup> Thus, the higher catalytic activity over 2Pd/CeO<sub>2</sub>-R is ascribed to the higher amount of surface O<sub>v</sub>. As shown in Figure 9b, the increase of OSC increases the reaction rate attributed to the enhanced adsorption and activation of CO<sub>2</sub> on the oxygen vacancies. However, this increase is not linear and it becomes slow at higher OSC. To study the intrinsic activity of oxygen vacancies on these catalysts, the  $\text{TOF}_{\text{oxygen vacancy}}$  which represents the converted  $CO_2$  molecules per oxygen vacancy per hour  $(h^{-1})$ , is calculated and illustrated in Figure 9c. The TOF<sub>oxygen vacancy</sub> varies in a wide range from 5.1 to 75.0 h<sup>-1</sup>, suggesting the distinctive reactivity of oxygen vacancies over different shapes of CeO<sub>2</sub>. The 2Pd/CeO<sub>2</sub>-R exposed (110) and (111) facets have the lowest value of  $TOF_{oxygen vacancy}$ , while the  $2Pd/CeO_2$ -O exposed (111) facet has the highest value of TOF<sub>oxygen vacancy</sub>. It seems that the easier the formation of oxygen vacancy, the less reactivity it has. The oxygen vacancy is much easier to be

formed on CeO<sub>2</sub>-R because the CeO<sub>2</sub>(110) facet has a high oxygen mobility and low O<sub>v</sub> formation energy. In contrast, the oxygen vacancy is much more difficult to be formed on CeO<sub>2</sub>-O, whose exposed (111) facet has the lowest density of oxygen vacancies. This difference in the reactivity of oxygen vacancy is ascribed to the balance between vacancy filling and vacancy formation based on DFT calculations.<sup>83</sup> For the oxygen vacancy with a low formation energy, it is difficult to fill these vacancies using the oxygen from a source such as the highly stable CO<sub>2</sub>. In contrast, if the energy barrier to form the oxygen vacancy is higher, filling the vacancy becomes more favorable, resulting in a stronger interaction between oxygen vacancy and CO<sub>2</sub>.

The values of  $\text{TOF}_{Pd}$  are also calculated and presented in Table 3. The values of  $\text{TOF}_{Pd}$  are similar and around 40 h<sup>-1</sup> over the CeO<sub>2</sub>-R and CeO<sub>2</sub>-P supported catalysts with abundant oxygen vacancies. It slightly decreases over the sample with poor oxygen vacancies, which are 29.9 and 14.2 h<sup>-1</sup> over CeO<sub>2</sub>-C and CeO<sub>2</sub>-O supported ones, respectively. On these two samples, especially CeO<sub>2</sub>-O, the number of oxygen vacancies highly limits the activation of CO<sub>2</sub>. The methanol STY and the reaction rate per unit surface area have also been calculated and are listed in Table 3. They are influenced by two factors: one is the number of oxygen vacancies per unit surface area and the other is the reactivity of one single oxygen vacancy. As a result, the reaction rate and the methanol STY follow the same order of 2Pd/CeO<sub>2</sub>-C > 2Pd/CeO<sub>2</sub>-R.

In summary, the hydrogenation of  $CO_2$  proceeds by the dissociation of  $H_2$  on Pd particles and the subsequent spillover of H atoms to adsorbed and activated  $CO_2$  on the oxygen vacancies. The activation of  $CO_2$  is related not only to the number of oxygen vacancies but also to their reactivity. These two factors always vary in the opposite way. The 2Pd/CeO<sub>2</sub>-R exposed (110) and (111) crystal facets exhibit the highest mobility of oxygen, but the reactivity of each oxygen vacancy is low. However, it still shows the highest reaction rate and methanol STY owing to the much higher number of oxygen vacancies than the remaining three samples.

**3.5. Influence of Reaction Conditions and Catalyst Stability.** The catalytic performances of the most active 2Pd/ CeO<sub>2</sub>-R catalyst are further investigated, and the influence of reaction conditions including operating temperature, reaction pressure, and GHSV is determined and illustrated in Figure 10. Both methanol STY and CO<sub>2</sub> conversion linearly increase with



**Figure 11.** (a) Chemical potential of  $Pd_1$ -doped  $CeO_2$  at different conditions. (b) Chemical potential of  $Pd_1$ -doped  $CeO_2$  and  $Pd_1$  supported on  $CeO_2$  models under reaction conditions in comparison to the chemical potential of  $CeO_2$ -supported Pd metal nanoparticles (NPs) as a function of their size.

temperature increase in the range of 200-280 °C. At higher temperatures, more CO<sub>2</sub> molecules will be activated and subsequently converted to promote the conversion of  $CO_2$ . As we know that the production of methanol from  $\text{CO}_2$  is exothermic  $(\Delta H_{298K} = -49 \text{ kJ mol}^{-1} \text{ for } \text{CO}_{2(g)} + 3H_{2(g)} \rightleftharpoons \text{CH}_3\text{OH}_{(g)} + H_2\text{O}_{(g)})$ , while the RWGS reaction is endothermic ( $\Delta H_{298K} = +41 \text{ kJ mol}^{-1}$  for  $CO_{2(g)} + H_{2(g)} \rightleftharpoons$  $CO_{(g)} + H_2O_{(g)}$ ), higher temperature would lead more to the formation of CO. However, the product selectivity is slightly affected (Figure S6a), indicating the temperature insensitivity on the selectivity of this catalyst. In contrast, increasing the reaction pressure thermodynamically facilitates the formation of methanol. As listed above, the formation of methanol is a volume-reduced reaction, while RWGS is a volume-constant one. Increasing pressure would drive the reaction equilibrium toward methanol formation, while it has no influence on the equilibrium of the RWGS reaction. As shown in Figure 10b, methanol STY sharply increases as the reaction pressure increased from 1 to 5 MPa. However, the CO<sub>2</sub> conversion is slightly influenced probably because the concentration of reactants on the catalyst surface is not the rate-limiting step in the present reaction conditions. The increased methanol STY originates from the highly promoted selectivity to methanol by higher pressure (Figure S6b).

The influence of GHSV on the production of methanol shows a kind of volcano shape in the range of  $2-24 L g_{cat}^{-1} h^{-1}$ (Figure 10c). With the increase of GHSV, the contact time between reactants and the catalyst reduces, leading to a decreased CO<sub>2</sub> conversion. The selectivity to methanol also decreases with an increase in CO selectivity (Figure S6c), which suggests that the formation of CO is quicker than the formation of methanol. As revealed by the DFT calculations in the following part, the formation of CO and methanol is via different pathways. The CO can be formed by direct CO<sub>2</sub> dissociation with a similar energy barrier as the formation of methanol, which however needs five steps. Thus, a longer contact time is favorable for the successive hydrogenation of CO<sub>2</sub> to CH<sub>3</sub>OH, and the high space velocity is kinetically detrimental for methanol formation. Moreover, this phenomenon is not shape-dependent, where similar variations of conversion and selectivity can also be observed on the 2Pd/ CeO<sub>2</sub>-O catalyst, which exposes a totally different crystal facet of  $CeO_2(111)$  (Figure S7). The highest methanol STY of 22.8  $mg_{methanol} g_{cat}^{-1} h^{-1}$  is obtained at a GHSV of 6 L  $g_{cat}^{-1} h^{-1}$ over 2Pd/CeO2-R. Thus, the catalyst stability is further

evaluated at this high GHSV. As illustrated in Figure S8, the conversion of  $CO_2$  is maintained at 4%, and  $CH_3OH$  selectivity does not drop during the 100 h time on stream. After the reaction, the cubic structure as well as the rod morphology of  $CeO_2$  crystals is well reserved without the agglomeration of Pd species on them (Figure S9). The  $CeO_2$  rod supported Pd catalyst displays excellent catalytic activity and long-time stability toward  $CO_2$  hydrogenation to  $CH_3OH$ .

The catalytic performances of other Pd-based catalysts in  $CO_2$  hydrogenation to  $CH_3OH$  are also summarized in Table S6. Clearly, the  $CH_3OH$  selectivity over supported Pd-only catalysts is mostly lower than 30% and the dominant product is CO. The formation of Pd alloy, such as PdZn alloy, could improve the methanol selectivity for stabilizing the key intermediate formate species, while the metallic Pd-only nanoparticles more prefer the RWGS reaction to make  $CO.^{39,86}$  In our work, the methanol selectivity can be improved to a higher level of 47.7% by tuning the above-mentioned reaction conditions, and the  $TOF_{Pd}$  can reach 79.8 h<sup>-1</sup>, which is the highest value for  $CeO_2$ -supported Pd-only catalysts attributed to the abundant oxygen vacancies in the Pd/CeO<sub>2</sub>-R catalyst.

3.6. Possible Reaction Pathway Based on Experimental and DFT Calculations. To investigate the reaction pathway of methanol formation over the Pd/CeO<sub>2</sub>-R catalyst, we carried out DFT calculations. Based on the above characterization results, most of the Pd species are reduced to metallic Pd after the reduction process and the average Pd particle size is about 5.9 nm. However, the existence of  $Pd_1/$ CeO<sub>2</sub> is not ensured because linearly adsorbed CO can be observed on this sample. To identify the most stable Pd structure under realistic reaction conditions, we carried out ab initio thermodynamic calculations. Figure 11a shows the chemical potential of Pd<sub>1</sub>-doped CeO<sub>2</sub> at different conditions. Both the reduction and reaction conditions decrease the stability of the Pd<sub>1</sub>-doped CeO<sub>2</sub> structure. It is in agreement with our XPS results that PdO clusters and  $Pd_xCe_{1-x}O_{2-\delta}$  solid solution are reduced to metallic Pd upon reduction. Figure 11b shows the chemical potential of Pd<sub>1</sub>-doped CeO<sub>2</sub> and Pd<sub>1</sub> supported on CeO<sub>2</sub> models under reaction conditions in comparison to the chemical potential of CeO2-supported Pd metal nanoparticles (NPs) as a function of their size. It can be seen that Pd<sub>1</sub>-doped CeO<sub>2</sub> and Pd<sub>1</sub> supported on CeO<sub>2</sub> are less stable under reaction conditions compared to ceria-supported Pd NPs, indicating that ceria-supported Pd NPs are the



Figure 12. Calculated energy profile and intermediate structures for methanol formation on  $Pd/CeO_2$  (110). Red, O atoms in  $CeO_2$ ; ivory, Ce atoms; green, Pd atoms; gray, C atoms; purple, O atoms in  $CO_2$ ; white, H atoms.

thermodynamically most favorable structure under reaction conditions. Thus, it can be inferred that Pd NPs on CeO<sub>2</sub> act as the active sites in the CO<sub>2</sub> hydrogenation reaction. Further DFT calculations on the reaction pathway were carried out using  $Pd_4/CeO_2(110)$  as the model catalyst.

The reaction mechanisms of CO<sub>2</sub> to CH<sub>3</sub>OH over different catalysts are supposed to be different owing to the fact that the binding of  $CO_2$  is varied over different active sites.<sup>24,87</sup> The key issue in CO<sub>2</sub> hydrogenation to CH<sub>3</sub>OH is breaking one of the C-O bonds. Two primary reaction pathways are proposed based on theoretical calculations and experimental observations.<sup>19,88</sup> One pathway is featured by the observation of the CO\* intermediate, which is formed via the C-O bond cleavage in carboxylate (COOH\*) species and molecularly adsorbed  $CO_2^*$ . The other pathway is via a formate (HCOO\*) intermediate, which is formed in the initial step of CO2\* hydrogenation, followed by several further hydrogenation steps to CH<sub>3</sub>OH.<sup>19</sup> However, the occurrence of C–O bond cleavage changes from H<sub>2</sub>COOH\* to H<sub>2</sub>COO\* when the oxygen vacancies of  $In_2O_3$  in the Pd/In<sub>2</sub>O<sub>3</sub> catalyst are considered due to the stabilization of HCOO\*, H<sub>2</sub>COO\*, and H<sub>2</sub>CO\* by oxygen vacancies.<sup>80,82</sup>

In our present work,  $CeO_2(110)$  has abundant oxygen vacancies on its surface. Therefore, the activation energy of C-O bond cleavage is first calculated including the abovediscussed four pathways, which are C-O bond cleavage via direct CO<sub>2</sub> dissociation, COOH\*, H<sub>2</sub>COOH\*, and H<sub>2</sub>COO\*. Moreover, the C-O bond cleavage of HCOO\* is also calculated. As shown in Figure S10, CO<sub>2</sub> can adsorb at the interfacial oxygen vacancy of Pd<sub>4</sub>/CeO<sub>2</sub>(110). However, the formation of COOH\* specie is difficult on the Pd<sub>4</sub>/  $CeO_2(110)$  catalyst surface. In the direct  $CO_2$  dissociation pathway (Figure S10a), CO<sub>2</sub> dissociates into CO\* and O\*, and the dissociated O\* replenishes the oxygen vacancy. This process has a barrier of 1.19 eV. For the H<sub>2</sub>COOH\* pathway (Figure S10b), 3H-assisted  $CO_2$  dissociation with 2H binding on the C atom and one H binding on the O atom, the adsorbed CO<sub>2</sub> is hydrogenated to form H<sub>2</sub>COOH\* species; then,  $H_2COOH^*$  species dissociates into  $H_2CO^*$  and \*OH. This process has a relatively low barrier of 0.38 eV. The dissociation of H<sub>2</sub>COO\* to H<sub>2</sub>CO\* has an even lower barrier of 0.34 eV (Figure S10c). In contrast, the dissociation of HCOO\* to HCO\* shows a much higher barrier of 1.84 eV (Figure S10d). Therefore, three C–O bond cleavage pathways of direct CO<sub>2</sub> dissociation and dissociation via H<sub>2</sub>COOH\* and

 $H_2COO^*$  are considered as the potential reaction pathways of  $CH_3OH$  formation over  $Pd_4/CeO_2(110)$ .

Figure 12 shows the energy profiles of CH<sub>3</sub>OH formation via the above three pathways. For the CO<sub>2</sub> direct dissociation route, the adsorbed  $CO_2$  directly dissociates to  $CO^*$  by overcoming a barrier of 1.19 eV. The formed CO\* either desorbs ( $E_a = 1.03 \text{ eV}$ ) or undergoes hydrogenation to HCO\*  $(E_{2} = 0.39 \text{ eV})$ . Then, HCO\* will be successively hydrogenated to  $H_2CO^*$ ,  $H_3CO^*$ , and finally  $CH_3OH^*$  as indicated by previous studies.<sup>89,90</sup> Among the three steps, the hydrogenation of H<sub>2</sub>CO\* to H<sub>3</sub>CO\* needs a higher energy barrier of 0.49 eV. Overall, the direct dissociation of  $CO_2^*$  to  $CO^*$  is the rate-determining step for CH<sub>3</sub>OH formation. For the formate pathway via the C-O bond cleavage of CH2OO\*, the adsorbed CO<sub>2</sub> can be successively hydrogenated to HCOO\*, H<sub>2</sub>COO\*, H<sub>2</sub>CO\*, and H<sub>3</sub>CO\* intermediates.<sup>82</sup> Kinetically, CO2\* hydrogenation to the HCOO\* species is favorable as this reaction has a relatively small barrier of 0.21 eV. Although the barrier of C–O bond cleavage in  $CH_2OO^*$  to  $CH_2O^*$  is low (0.34 eV), the barrier of  $CH_2OO^*$  formation is as high as 1.36 eV. For the formate pathway via the C–O bond cleavage of CH2OOH\*, CH3OH is produced via the following sequence: CO<sub>2</sub>\*, HCOO\*, HCOOH\*, H<sub>2</sub>COOH\*, H<sub>2</sub>CO\*,  $H_3CO^*$ , and  $CH_3OH^*$ .<sup>89,91</sup> The bottleneck is associated with the hydrogenation of HCOO\* to HCOOH\*, showing a slightly lower barrier of 1.07 eV. The same rate-limiting step is also found over the ZnO/Cu(111) catalyst for CO<sub>2</sub> hydrogenation to methanol.<sup>88</sup> Therefore, CH<sub>3</sub>OH forms likely via the formate pathway where the adsorbed CO<sub>2</sub> initially forms HCOO\* followed by the C-O bond cleavage of the H<sub>2</sub>COOH\* intermediate (Scheme 1).

# Scheme 1. Schematic Illustration of $CO_2$ Hydrogenation to Methanol over Pd/CeO<sub>2</sub> Catalysts



To further study the possibility of CO hydrogenation to  $CH_3OH$  over the  $Pd/CeO_2$  catalyst, CO was fed and tested for temperature-programmed surface reaction (TPSR) under the same operating conditions as the real reaction except that the feeding gas of  $CO_2$  was substituted by CO. The CO concentration was designed as 2.4%, which is the concentration assuming a 10% conversion of  $CO_2$  to CO. As shown in Figure S11,  $CH_4$  is the only significant product for CO hydrogenation, while no  $CH_3OH$  is generated. This observa-

tion suggests that CO may not be the intermediate for  $CH_3OH$  formation but the intermediate for  $CH_4$  formation over the Pd/CeO<sub>2</sub> catalyst. Therefore, the formate route is the most possible reaction pathway for CO<sub>2</sub> hydrogenation to  $CH_3OH$  over the Pd/CeO<sub>2</sub> catalyst from both DFT and experimental results.

#### 4. CONCLUSIONS

In conclusion, we have prepared four different morphologies of  $CeO_2$  supports, which are rods exposing (110) and (111) facets, cubes exposing (100) facet, octahedrons exposing (111) facet, and polyhedrons exposing (111) and (100) facets. Their influences on the catalytic performance of  $CO_2$  hydrogenation to  $CH_3OH$  have been studied. It was found that the  $CeO_2$  morphology greatly affects the catalytic performance of Pd/ $CeO_2$  materials owing to the character of crystal facets exposed. The main conclusions are as follows.

- (1) The CeO<sub>2</sub> rods are mainly exposed (110) and (111) facets with the lowest oxygen vacancy formation energy and the highest density and amount of surface oxygen vacancies among these CeO<sub>2</sub> supports. The Pd species mainly exist as PdO clusters and  $Pd_xCe_{1-x}O_{\delta}$  solid solution on these supports. These two types of Pd species would be reduced to metallic Pd during the reduction treatment, yielding 6-10 nm average size of Pd particles. As indicated by DFT calculations, the metallic Pd nanoparticles are the most stable species in the realistic reaction conditions compared with Pd<sub>1</sub>/  $CeO_2$  and  $Pd_xCe_{1-x}O_{\delta}$  solid solution. The presence of Pd highly promotes oxygen vacancy formation via H atom spillover from the Pd surface to the CeO<sub>2</sub> support under a H<sub>2</sub> atmosphere, but it could not change the order of the concentration of oxygen vacancy, which is  $2Pd/CeO_2 R > 2Pd/CeO_2 P > 2Pd/CeO_2 C > 2Pd/$  $CeO_2$ -O. The oxygen mobility at the interface of (111) and (100) facets is higher than the single (111) and (100) facets.
- (2) The hydrogenation of CO<sub>2</sub> is a synergistic reaction between H<sub>2</sub> adsorption/activation over the Pd surface and CO<sub>2</sub> adsorption/activation at the oxygen vacancy of the CeO<sub>2</sub> support. The catalytic activity is mainly determined by the activation of CO<sub>2</sub> on the oxygen vacancy on the ceria surface. The lower formation energy of oxygen vacancy could give a higher amount/ concentration of oxygen vacancies, but the reactivity of one single oxygen vacancy is lower. Therefore, suitable formation energy might give superior reactivity toward CO<sub>2</sub>. Although the reactivity of oxygen vacancy on the 2Pd/CeO<sub>2</sub>-R catalyst is lower, this catalyst still shows the highest catalytic activity ascribed to the much higher amount of oxygen vacancies.
- (3) Combined with the DFT calculations and experimental results, the methanol formation was most probably via the formate pathway where the adsorbed  $CO_2$  initially forms HCOO\*, which is eventually hydrogenated to methanol via the C–O bond cleavage of H<sub>2</sub>COOH\* over the Pd/CeO<sub>2</sub>(110) catalyst. The gas-phase CO is not the intermediate for CH<sub>3</sub>OH formation but the intermediate for CH<sub>4</sub> formation. These results would provide experimental and theoretical insights into the rational design of effective and stable catalysts for CO<sub>2</sub> hydrogenation.

#### ASSOCIATED CONTENT

#### **Supporting Information**

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.0c03324.

Structures of optimized  $Pd_4/CeO_2(110)$ ; TEM and HRTEM images and EDX mapping for the calcined and reduced catalysts; influence of  $CeO_2$  morphology and reaction conditions on the product distribution of these catalysts; stability test, XRD, and TEM images of the spent catalysts; calculated activation barriers for different  $CO_2$  dissociation pathways; CO-TPSR files, binding energies, and surface compositions derived from XPS spectra;  $H_2$  consumption derived from  $H_2$ -TPR results; summary of the Pd-based catalysts for  $CO_2$  hydrogenation to methanol (PDF)

#### AUTHOR INFORMATION

#### **Corresponding Author**

#### Authors

**Feng Jiang** – Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China

- Shanshan Wang Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China
- **Bing Liu** Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China
- Jie Liu Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China
- Li Wang Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China
- Yang Xiao Davidson School of Chemical Engineering, Purdue University, West Lafayette, Indiana 47907, United States;
   orcid.org/0000-0003-1705-2213
- **Yuebing Xu** Department of Chemical Engineering, School of Chemical and Material Engineering, Jiangnan University, Wuxi 214122, China

Complete contact information is available at: https://pubs.acs.org/10.1021/acscatal.0c03324

#### Notes

The authors declare no competing financial interest.

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